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PATENT TRADEMARK OFFICE

Docket No.:2649/0K220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Israel LIFSHITZ et al.

RECEIVED

Serial No.:

10/055,197

Group Art Unit:

2681

APR 2 2 2002

Technology Center 2600

Filed:

January 21, 2002

Examiner:

TBA

For:

METHOD AND SYSTEM FOR COMMUNICATION BACKWARD

COMPATIBILITY

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- 1. This IDS should be considered, in accordance with 37 C.F.R. §1.97, as it is filed: (Check one of the boxes A-D)
- [X] A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- [] B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- [] C. after (A) and (B) above, but before the mailing date of a final rejection, a notice of allowance, or any other action that closes prosecution, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

[] i. Counsel states that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

[] ii.11. A check for the fee set forth in 1.17(p), presently believed to be \$180, is enclosed.

[] D. after (A), (B) and (C) above, but before payment of the issue fee: Counsel states that, upon information and belief, each item of information listed herein was either (i) cited in a

TO .

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

[] i. A check for the fee set forth in 1.17 (p), presently believed to be \$180, is enclosed.

2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO-1449) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A and/or B and fill in blanks, if appropriate.)

| | [] A. Document(s) | is (are) deemed substantially cumulative to |
|--------------|----------------------|---|
| document(s)_ | , and, in accordance | e with 1.98(c), only a copy of each of the latter |
| documents is | enclosed | |

[] B. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

[SERIAL NO. & FILING DATE].

Applicant Identifies these documents by attaching hereto copies of the forms PTO-892 and PTO-1449 from the files of the prior application(s) or a fresh PTO- 1449 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR

Serial No. 10/055,197 Docket No. 2649/0K220

| .98(d), copies of these documents need not be filed in this application. | | | | | | | | |
|--|---|--|--|--|--|--|--|--|
| [] 3. Documer | are not in the English language. In accordance with | | | | | | | |
| 1.98(c), Applicant sta | tes: | | | | | | | |
| | An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application is enclosed. | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | A concise explanation of the relevance of document(s) is found in the attached search report (see MPEP § 609 A(3)x). | | | | | | | |
| . [] | A concise explanation of the relevance of document(s) is set forth as follows: [Insert concise explanation of relevance] | | | | | | | |
| [] | A concise explanation of the relevance of document(s) can be found on page(s) of the specification. | | | | | | | |
| <i>)</i> → | A concise explanation of document(s) can be found on the attached sheet. | | | | | | | |
| [] 4. No expla | nation of relevance is necessary for documents in the English language (see | | | | | | | |

- [] 5. Other information being provided for the examiner's consideration follows:
- 6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

CROSS REFERENCE UNDER 37 C.F.R. §1.78 TO RELATED APPLICATIONS

Pursuant to 37 C.F.R. § 1.79, Applicant notes that the above-identified patent application may be related to the following U.S. Patent Applications:

(1) U.S. Patent Application Serial No ______, filed ______.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

April 15, 2002

Registration No. 25,351

Attorney for Applicant(s)

DARBY & DARBY P.C. Post Office Box 5257 New York, N.Y. 10150-5257 (212) 527-7700

| U.S. Department of Commerce, Patent and Trademark Office | | | Serial No. 10/055,197 _{1N3/10} Atty. Docket No. 2649/0K220 | | | | | | | |
|--|---------------|-----------------|---|--|------------|---------------------------------|-------------------------|-----------------|-------------------------------|--|
| | | | Applicant: Lifshitz et al. 2019 | | | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | Applicant: Lifshitz et al. 1977 1977 1977 1977 1977 1977 1977 197 | | | | | | | |
| (Use several sheets if necessary) | | | | · E MAS | | | | | | |
| | | | | Group 2681 Filing Date: January 21, 2002 | | | | | | |
| | | | | 1 | U.S. Pate | nt Documents | | | | |
| *Examiner Initial | | | Document Number | Date | | Name | Class | Subclass | Filing Date If Appropriate | |
| | | AA | 5,987,068 | Nov. 16, | 1999 | Cassia et al. | 375 | 281 | Nov. 8, 1996 | |
| | | AB | 6,011,807 | Jan. 4, 2 | 2000 | Castagna et al. | 370 | 506 | Jul. 18, 1997 | |
| • | | AC | 6,298,051 | Oct. 2, 2001 | | Odenwalder et al. | 370 | 342 | Jul. 30, 1999 | |
| | | AD | | | | | | | | |
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| | AK | | | | | | Technology Center 2600 | | | |
| | | | | F | Foreign Pa | tent Documents | | | | |
| Translati | on | | | | | | | | | |
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| Date Considered | | | | | 4 | Examiner | | | | |
| *EXAM | INER: Initial | if reference co | nsidered, whether on with your commu | r not citation i | s in confo | ormance with MPEP 609; Dra | w line through citation | if not in confe | ormance and not | |

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